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|  | Part 108 air operator security programme - compliance matrix  Use this compliance matrix to make sure you comply with **security programme** requirements under [Civil Aviation Rules](https://www.aviation.govt.nz/rules/), Part 108 air operator security programme, applicable to Part 119 air operator certification and Part 129 foreign air transport operator certification. |

# You must email us this compliance matrix with your application for certificate issue or renewal

|  |
| --- |
| Email us this completed compliance matrix (in editable format not PDF) with your application for certificate issue or renewal to [certification@caa.govt.nz](mailto:certification@caa.govt.nz) |

# About your compliance matrix and our assessment

|  |  |
| --- | --- |
| * You’re responsible for compliance with Civil Aviation Rules * This compliance matrix has the **minimum** **security programme** requirements for air operations under Part 119 air operator and Part 129 foreign air transport operator * Click on the field areas and type (they expand as you type) * To add rows to the tables in this form copy and paste the last row | CAA’s compliance matrices are a guide to minimum compliance requirements  They speed up, and reduce the cost of, the certification assessment by making it easier for us to…   * find the procedures and information in your exposition * assess your application   It’s important to keep your compliance matrices up-to-date for ongoing compliance and to support your certificate renewal applications |

# Your organisation’s details

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| Organisation’s name |  | CAA ID no. |  | Date | Click or tap to enter a date. |

# Proposed exposition sections (if one document) or documents (if separate documents) submitted with this compliance matrix

|  |  |  |  |
| --- | --- | --- | --- |
| Section or document name | Abbreviation (abbrev.) | Version no. | Dated |
|  |  |  | Click or tap to enter a date. |
|  |  |  | Click or tap to enter a date. |
|  |  |  | Click or tap to enter a date. |

# Confirm your exposition meets document control requirements

| **Procedures to control, amend, and distribute your exposition** | **You complete**  Section/document complies?  Yes or No. If no, explain why | **CAA use only**  Exposition sampled and issues organisation must address  (Inspector adds initials and date) |
| --- | --- | --- |
| List of effective pages (for document control and management) |  |  |
| Revision/amendment description table (to give context to the change) |  |  |
| Contents page |  |  |
| Header or footer on **every page** must have your organisation’s name, exposition or document name, effective revision no. and date, and page no. |  |  |

# You must address the rules below if they apply to your operation

If this compliance matrix is emailed to us with your application for certificate **renewal**, highlight or Track Change proposed amendments and any changes since the issue of your last certificate.

| **Rule** | | **You complete**  Section or document name abbrev. and reference or heading  (If the rule is not met or doesn’t apply, explain why) | **CAA use only**  Exposition sampled and issues organisation must address  (Inspector adds initials and date) |
| --- | --- | --- | --- |
| **Part 12 Accidents, Incidents, and Statistics** | | | |
| **Subpart B Notification, investigation, and reporting, of occurrences** | | | |
| 12.55 Notification of incident | | | |
| 12.55(a)(5) Notification of security incident |  | |  |
| 12.55(d)(8) [App A(e)] Required information |  | |  |
| 12.57 Details of accident | | | |
| 12.57(a)(1) Provide details |  | |  |
| 12.57(b)(1) to (3) Means of providing details |  | |  |
| 12.59 Investigation and reporting | | | |
| 12.59(1) Conduct investigation |  | |  |
| 12.59(2)(i) to (iii) Submit report to CAA |  | |  |
| 12.59(3) Preventive action |  | |  |
| **Part 108 Air operator security programme** | | | |
| **Subpart B Air operator security programme requirements** | | | |
| 108.51 Security programme | | | |
| 108.51(1) Contractual arrangements |  | |  |
| 108.51(2) Person responsible for training |  | |  |
| Procedures 108.51(3)(i) to (v) |  | |  |
| 108.53 Security requirements - domestic | | | |
| 108.53(b)(1) Carriage of firearms as per 91.9 |  | |  |
| 108.53(b)(2) Danger minimisation after threat |  | |  |
| 108.53(b)(3) Access control to unattended aircraft |  | |  |
| 108.53(b)(4) Access control to security and operational areas |  | |  |
| 108.53(b)(5) Security controls to baggage, cargo, supplies, mail etc |  | |  |
| 108.53(b)(6) Flight with person in lawful custody [Ref. 108.53(c)] |  | |  |
| 108.53(b)(7) Baggage of passenger failing to board |  | |  |
| 108.53(b)(8) Screening of passengers, crew and carry-on baggage [Ref. 108.63] |  | |  |
| 108.53(b)(9) Screening of checked–in baggage [Ref. 108.63] |  | |  |
| 108.53(b)(10) Temporary upgrade of security measures |  | |  |
| 108.53(b)(11) Unauthorised entry to flight deck |  | |  |
| **Person in custody** | | | |
| 108.53(c)(1) to (3) Person in lawful custody is escorted |  | |  |
| 108.53(c)(4) Notification of P-I-C senior FA |  | |  |
| 108.53(c)(5) Alcoholic beverages |  | |  |
| 108.53(c)(6) Metal utensils |  | |  |
| 108.53(c)(7) & (8) Seating location |  | |  |
| 108.53(c)(9) Ratio of person in custody to seating capacity |  | |  |
| 108.55 Security requirements – international | | | |
| 108.55(b)(1) Carriage of firearms as per 91.9 |  | |  |
| 108.55(b)(2) Danger minimisation after threat |  | |  |
| 108.55(b)(3) Access control to unattended aircraft |  | |  |
| 108.55(b)(4) Access control to security and operational areas |  | |  |
| 108.55(b)(5) Temporary upgrade of security measures |  | |  |
| 108.55(b)(6) Security controls to baggage, cargo, mail etc |  | |  |
| 108.55(b)(7) Flight with person in lawful custody |  | |  |
| 108.55(b)(8) Control of transit or transfer passenger and carry-on baggage |  | |  |
| 108.55(b)(9)(i) to (ii) Carry-on items from transit flight |  | |  |
| 108.55(b)(10) Security controls to baggage, cargo, supplies, mail etc moved within aerodrome |  | |  |
| 108.55(b)(11) Screening of consignment |  | |  |
| 108.55(b)(12) Screening of passengers, crew and carry-on baggage [Ref. 108.63] |  | |  |
| 108.55(b)(13) Baggage of passenger not on board |  | |  |
| 108.55(b)(14) Pre-flight checks |  | |  |
| 108.55(b)(15) Security control of baggage not from check-in counter |  | |  |
| 108.55(b)(16) Screening of checked–in baggage [Ref. 108.63] |  | |  |
| 108.55(b)(17) Authorised baggage |  | |  |
| 108.55(b)(18) Unauthorised entry to flight deck |  | |  |
| 108.55(c)(1)(i) & (ii) Cargo received from RACA, security declaration, tamper evident etc. |  | |  |
| 108.55(c)(2) Security controls to cargo not from RACA |  | |  |
| 108.55(c)(3) Screening as per s.77A or 77B and secure state |  | |  |
| 108.55(d) Security controls req. equivalent to Part 109 |  | |  |
| 108.55(d)(1) Facility requirements [Ref. CAR 109.53] |  | |  |
| 108.55(d)(2) Cargo and mail security control procedures [Ref. CAR 109.55] |  | |  |
| 108.55(d)(3) Screening procedures [Ref. CAR 109.57] |  | |  |
| 108.55(d)(4) Authorisation procedures [Ref. CAR 109.59] |  | |  |
| 108.55(d)(5) Procedures and register for a known customer [Ref. CAR 109.61] |  | |  |
| 108.55(d)(6) Training of personnel [Ref. CAR 109.63] |  | |  |
| 108.55(d)(7) Cargo security incidents [Ref. CAR 109.65] |  | |  |
| 108.55(d)(8) Records [Ref. CAR 109.67] |  | |  |
| **Person in custody** | | | |
| 108.55(f)(1) Person is escorted |  | |  |
| 108.55(f)(2) No carriage of weapon |  | |  |
| 108.55(f)(3) Restraining devices |  | |  |
| 108.55(f)(4) Notification of P-I-C senior FA |  | |  |
| 108.55(f)(5) Alcoholic beverages |  | |  |
| 108.55(f)(6) Metal utensils |  | |  |
| 108.55(f)(7) & (8) Seating location |  | |  |
| 108.55(f)(9) Ratio of person in custody to seating cap. |  | |  |
| 108.55(g) Oversight of domestic operator compliance with security programme |  | |  |
| 108.55(h)(i)(1) Diplomatic mail |  | |  |
| 108.55(h)(i)(2) Int’l transfer and transit cargo or mail |  | |  |
| 108.57 Security clearance of flights | | | |
| 108.57 Security programme to be complied with before departure |  | |  |
| 108.59 Training of personnel | | | |
| 108.59(a)(1) Personnel training and competency |  | |  |
| 108.59(a)(2) Other personnel awareness of security |  | |  |
| 108.59(a)(3) Training is structured and coordinated |  | |  |
| 108.59(a)(4) Recurrent training not more than every 3 years |  | |  |
| **Procedures** | | | |
| 108.59(b)(1) Segments for initial and recurrent training |  | |  |
| 108.59(b)(2) Knowledge testing and competency assessment |  | |  |
| 108.59(b)(3) Syllabi |  | |  |
| 108.60 Records | | | |
| 108.60(a)(1) & (2) Procedures for managing training records |  | |  |
| 108.61 Incident notification [Part 12 Accidents, Incidents, and Statistics (occurrence reporting)] | | | |
| If compliance with this is demonstrated in your overall accident and incident reporting procedures, provide your exposition reference here. | | | |
| 108.61 Notification of security incident [Ref. Part 12] |  | |  |
| 108.65 Air security programme required | | | |
| 108.65(b)(1) & (2) Security programme requirements for Australian AOC |  | |  |
| List any other rules complied with that are applicable to your security programme: | | | |
|  |  | |  |
|  |  | |  |

**CAA use only**

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| Case number |  | Date assessment completed | | Click or tap to enter a date. | | Inspector’s initials |  | |
| Inspector(s) who assessed compliance matrix | | | | | | | | |
| Name | | | Initials | | Name | | | Initials |
|  | | |  | |  | | |  |
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| Other rules or advisory circulars referred to during the assessment by CAA: | | |
| Reference | Reference | Reference | | Reference | Reference |
|  |  |  | |  |  |

Development status control

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| --- | --- | --- | --- | --- | --- | --- | --- |
| This compliance matrix was established using the following Parts: | | | |  | | | |
| Part | Name | Amendment no. | Effective date | Part | Name | Amendment no. | Effective date | |
| 12 | Accidents, Incidents, and Statistics | Initial issue | 5 April 2025 | 108 | Air Operator Security Programme | Initial issue | 5 April 2025 | |

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
| Revision | 5 | Date | 06/10/2022 | Status | Final |  |
| Form name changed from ‘compliance matrix’ to ‘rule checklist’; instructions redrafted; hyperlink to rules added; table headings changed; amendment numbers and dates updated | | | | | | |

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
| Revision | 6 | Date | 04/05/2025 | Status | Final |  |
| Updated to reflect the new rules issued under the Civil Aviation Act 2023 (note: **no rule changes** were made on this compliance matrix. The rules referenced in revision 6 are the same as those in revision 5).  Other amendments: document name changed to align with CAA's operational policy naming conventions, including changing ‘rule checklist’ to ‘compliance matrix’; minor formatting changes; corrections to CAA business system terminology eg, a work request is now a case number | | | | | | |