

Part 119/121 and Part 119/125 Compliance Matrices (Maintenance Requirements)

The Rule references in this compliance matrix have been extracted from the Civil Aviation Rules system as the minimum maintenance compliance requirements for an applicant for the issue or renewal of a Part 119/121 or Part 119/125 Airline Air Operator Certificate.

This matrix supports the overall Part 119/121 and Part 119/125 rule compliance matrices 24119/08 and 24119/09.

A completed compliance matrix must be submitted by the applicant both for initial certification and for renewal. Additionally, the certificate holder should maintain an up-to-date compliance matrix to assist with on-going compliance and to support certificate amendment requests.

The purpose of the matrix is to speed up the certification process, ensure every applicable rule requirement has been addressed in the exposition and reduce the cost of certification by the quick location of required policies or procedures in the applicant's exposition manual suite.

All rules have to be complied with, but not every rule has to be addressed in the exposition. At least the following Rules must be included unless they are not applicable to the operation, in which case they should be annotated N/A. The intention of this matrix is to assist rather than instruct the applicant in an initial application or request for renewal. If for your operation, compliance is required with a rule not listed in the matrix, please add it to the list and identify the exposition reference.

This matrix needs to be completed by every applicant for a Part 119/121 or Part 119/125 Airline Air Operator Certificate and show the exposition pages and paragraph numbers that satisfy the rules in the *Manual References / Applicant's Comments* column. Where the applicant does not meet the rule requirement or deems it not applicable, an explanation should be given in this column. **Please note ticks (✓) are not acceptable.**

The completed matrix should accompany the exposition documents and preferably be included as a component of the exposition. The applicant may submit a completed matrix in a different format as long as it includes all the rule references identified below; however, there may be additional processing time required by the CAA in cross-referencing requirements.

General Manual Layout

Manual binder: Can the manual be amended easily? (Three- or four-ring binders are preferred: two-ring binders are not recommended as the pages are too easily torn). If permanently bound, do you intend to re-issue at every amendment? This may inhibit frequency of needed amendments.

Electronic exposition: Is the matrix included as part of the file(s)/disc? If so, is it up to date? Have you considered the methods for distributing to the CAA and how you will manage amendments?

Applicant: []

Participant ID: []

Manuals Submitted: []

Rev.: []

Dated: []

This table will help you to assess whether you have appropriate methods of control over your documentation and suite of manuals. Not all elements may be applicable, especially if you have an electronic exposition. You will, however, even with an electronic exposition, need to demonstrate how you maintain full control over the contents and currency of all parts of the manual(s).

	Applicant's Comments	CAA Comments (for CAA use only)
Rule compliance matrix [is it included in the manual?]	[]	[]
Company statement page, signed by the chief executive	[]	[]
List of effective pages	[]	[]
Record of amendments	[]	[]
Distribution list & copies to be numbered	[]	[]
Contents page	[]	[]
Definitions & abbreviations (not mandatory)	[]	[]
Index (not mandatory but desirable)	[]	[]
On every page, headers and/or footers to include: (a) Company name (b) Name of the manual (c) Effective revision and date of the page (d) Page number	[]	[]
Alternate methods of control [electronic expositions]	[]	[]

Rule reference	Manual References / Applicant's Comments	CAA Review & Comments (for CAA use only)
Part 12 – Notification, Investigation and Reporting of Occurrences¹		
12.55(a)(4)	[]	[]
12.55(d)(2)	[]	[]
12.55(e)(1)(i)-(v)	[]	[]
12.57(a)(1)	[]	[]
12.57(b)(1)-(3)	[]	[]
12.59(1)	[]	[]
12.59(2)(i)-(3)	[]	[]
12.59(3)	[]	[]
12.103(a)	[]	[]
12.105	[]	[]
Part 91 – General Operating & Flight Rules		
91.537 Inoperative Instruments and Equipment		
91.537(a)(2)	[]	[]
91.602 Maintenance requirements before flight		
91.602(a)	[]	[]
91.603(a)(1)	[]	[]
Aircraft software configuration management procedures [Refer AC43-15 &	[]	[]

¹ Relating to defect incidents, identify in which manual the requirements are met if this has not already been addressed in the main operations matrix 24119/08 or 24119/09.

Rule reference	Manual References / Applicant's Comments	CAA Review & Comments (for CAA use only)
AC91-18]		
91.603(a)(2)	[]	[]
91.603(a)(3)	[]	[]
91.603(a)(4)	[]	[]
91.603(a)(5)	[]	[]
91.603(a)(6) [Part 43 at end of matrix]	[]	[]
91.603(a)(7)	[]	[]
91.603(a)(8)	[]	[]
91.603(b)	[]	[]
91.603(c)(1)&(2)	[]	[]
91.603(d)	[]	[]
91.603(e)	[]	[]
91.603(f)	[]	[]
91.605 Maintenance Programmes and Schedules		
91.605(a)(2)	Refer section 119.63 below	
91.605(b)(1)	Refer section 119.63 below	
91.605(e)(1)	[]	[]
91.605(e)(2)(i)-(iii)	[]	[]
91.605(e)(3)	[]	[]
91.605(e)(4)(i)-(iii)	[]	[]
91.605(e)(5)(i)&(ii)	[]	[]

Rule reference	Manual References / Applicant's Comments	CAA Review & Comments (for CAA use only)
91.605(e)(6)(i)&(ii)	[]	[]
91.605(e)(7)	[]	[]
91.605(e)(8)	[]	[]
91.605(e)(9)(i)or(ii)	[]	[]
91.605(e)(10)	[]	[]
91.605(f)	[]	[]
91.605(g)(1)	[]	[]
91.605(g)(2)	[]	[]
91.605(g)(3)	[]	[]
91.605(g)(4)	[]	[]
91.607 Approval of Maintenance Programmes		
91.607(a)	[]	[]
91.607(b)(1)	[]	[]
91.607(b)(2)	[]	[]
91.607(b)(3)	[]	[]
91.607(b)(4)	[]	[]
91.607(b)(5)(i)-(3)	[]	[]
91.607(b)(6)	[]	[]
91.611(a)	[]	[]
91.611(b)(1)&(2)	[]	[]
91.607(b)(7)	[]	[]
91.607(b)(8)	[]	[]
91.607(b)(9)	[]	[]

Rule reference	Manual References / Applicant's Comments	CAA Review & Comments (for CAA use only)
91.607(b)(10)	[]	[]
91.609 Changes to Maintenance Programmes and Schedules		
91.609(a)	[]	[]
91.613 Operational flight check		
91.613(a)(1)	[]	[]
91.613(a)(2)	[]	[]
91.613(a)(3)	[]	[]
91.613(b)	[]	[]
91.616 Maintenance Logbooks		
91.616(1)	[]	[]
91.616(2)	[]	[]
91.617 Maintenance Records		
91.617(a)	[]	[]
91.617(b)	[]	[]
91.617(c)	[]	[]
91.617(d)	[]	[]
91.619 Technical Log		
91.619(a)(1)	[]	[]
91.619(a)(2)	[]	[]
91.619(a)(3)	[]	[]
91.619(a)(4)(i)&(ii)	[]	[]
91.619(a)(5)	[]	[]

Rule reference	Manual References / Applicant's Comments	CAA Review & Comments (for CAA use only)
91.619(a)(6)	[]	[]
91.619(a)(7)	[]	[]
91.619(a)(8)	[]	[]
91.619(a)(9)(i)&(ii)	[]	[]
91.619(a)(10)	[]	[]
91.619(a)(11)	[]	[]
91.619(a)(12)	[]	[]
91.619(b)	[]	[]
91.619(c)(1)-(4)	[]	[]
91.621 Transfer of Maintenance Records		
91.621(1)&(2)	[]	[]
91.623 Retention of Records [Incl. procedures as required by 119.63(10)]		
91.623(a)	[]	[]
91.623(b)	[]	[]
91.623(d)	[]	[]
Part 119 Air Operator – Certification		
119.51 Personnel Requirements		
119.51(a)(2)(ii) 119.51(b)(1)(iii)	[]	[]
119.51(b)(2)	[]	[]
119.51(d)	[]	[]
119.61 Maintenance Procedures		
Exposition Requirements 119.81(a)(10)(i)		

Rule reference	Manual References / Applicant's Comments	CAA Review & Comments (for CAA use only)
119.61(a)(1)&(2)	[]	[]
119.61(b)(1)	[]	[]
119.61(b)(2)	[]	[]
119.61(b)(3)	[]	[]
119.63 Maintenance Programme		
Exposition Requirements 119.81(a)(10)(ii)		
119.63(b)(1)	[]	[]
119.63(b)(2)(i)&(ii)	[]	[]
119.63(b)(3)	[]	[]
119.63(b)(4)	[]	[]
119.63(b)(5)	[]	[]
119.63(b)(6)	[]	[]
119.63(b)(7)	[]	[]
119.63(b)(8)	[]	[]
119.63(b)(9)	[]	[]
119.63(b)(10)	Refer section 91.623 above	
119.65 Documentation [as applicable to the maintenance requirements]		
119.65(c)(1)	[]	[]
119.65(c)(2)	[]	[]
119.65(c)(3)	[]	[]
119.65(c)(4)	[]	[]
119.65(c)(5)	[]	[]

Rule reference	Manual References / Applicant's Comments	CAA Review & Comments (for CAA use only)
119.81 Exposition [as applicable to the maintenance requirements]		
119.81(a)(2)	[]	[]
119.81(a)(3)	[]	[]
119.81(a)(5)	[]	[]
119.81(a)(7)	[]	[]
119.81(a)(10)(iii)	[]	[]
Part 121 Air Operations – Large Aeroplanes		
Part 125 Air Operations – Medium Aeroplanes		
121.403 or 125.403 Responsibility for Airworthiness		
xxx.403(a)(1)&(2)	[]	[]
xxx.403(b)(1)	[]	[]
xxx.403(b)(2)(i)	[]	[]
xxx.403(b)(2)(ii)	[]	[]
121.405 or 125.405 Condition Monitored Maintenance Programmes		
xxx.405(1)-(9)	[]	[]
121.407 Maintenance Elements for EDTO		
121.407(a)(1)	[]	[]
121.407(a)(2)(i)-(ii)	[]	[]
121.407(a)(3) 121.407(b)(1)-(2)	[]	[]
121.407(a)(4) 121.407(c)(1)-(2)	[]	[]
121.407(a)(5) 121.407(d)	[]	[]

Rule reference	Manual References / Applicant's Comments	CAA Review & Comments (for CAA use only)
121.407(e)(1) 121.407(e)(2) 121.407(e)(3)(i)-(iii)		
121.407(a)(6)	[]	[]
121.407(a)(7)	[]	[]
121.407(a)(8)	[]	[]
121.407(a)(9)	[]	[]
121.407(a)(10)(i)-(ii)	[]	[]
121.415 or 125.415 Maintenance Review		
xxx.415(a)(1)	[]	[]
xxx.415(a)(2) xxx.415(d)(1)	[]	[]
xxx.415(d)(2)	[]	[]
xxx.415(d)(3)	[]	[]
xxx.415(b)(1)	[]	[]
xxx.415(b)(2)	[]	[]
xxx.415(b)(3)	[]	[]
xxx.415(b)(4)	[]	[]
xxx.415(c)(1)	[]	[]
xxx.415(c)(2)	[]	[]
121.417 EDTO Quarterly Report		
121.417(1)-(12)	[]	[]
125.79 SEIFR Passenger Operations		

Rule reference	Manual References / Applicant's Comments	CAA Review & Comments (for CAA use only)
125.79(a)(2)(iv)	[]	[]
125.407 Maintenance Programme – Additional Requirements for Aeroplanes with AEDRS		
125.407(a)(1)	[]	[]
125.407(a)(2)(i)-(iii)	[]	[]
125.407(a)(3)(i)-(iii)	[]	[]
125.407(a)(4)(i)or(ii)	[]	[]
125.407(a)(5)(i)-(iii)	[]	[]
125.407(b)(1)-(3)	[]	[]
125.407(c)	[]	[]
Part 43 – General Maintenance Rules		
43.51(a)(1)	[]	[]
43.51(a)(2)	[]	[]
43.51(a)(3)	[]	[]
43.51(a)(4)	[]	[]
43.51(a)(5)	[]	[]
43.51(a)(6)	[]	[]
43.51(b)(1)-(3) [Appendix A.1]	[]	[]
43.51(c)(1)-(2)	[]	[]
43.53(1)	[]	[]
43.53(2)	[]	[]
43.53(3)	[]	[]
43.53(4)	[]	[]

Rule reference	Manual References / Applicant's Comments	CAA Review & Comments (for CAA use only)
43.53(5)	[]	[]
43.53(6)	[]	[]
43.53(7)	[]	[]
43.53(8)	[]	[]
43.53(9)	[]	[]
43.53(10)	[]	[]
43.53(11)	[]	[]
43.54(a)	[]	[]
43.69	[]	[]
43.101(a)	[]	[]
43.101(c)	[]	[]
43.103	[]	[]
43.105	[]	[]
43.107	[]	[]
43.109	[]	[]
43.113	[]	[]
43.115	[]	[]
43.151	[]	[]
43.153	[]	[]
43.155	[]	[]
43.201	[]	[]
43.203	[]	[]

Rule reference	Manual References / Applicant's Comments	CAA Review & Comments (for CAA use only)
43.205	[]	[]
43.207	[]	[]
List any other rules complied with:		
[]	[]	[]

CAA Use

Assessed By: [] []

Work Request: []

Date received: [DD] / [MM] / [YYYY] Date accepted: [DD] / [MM] / [YYYY]

This matrix was established using the following Rule Part amendment statuses

12	Accidents, Incidents, and Statistics	Amendment 10	30 October 2017
19	Transition Rules	Amendment 19	10 March 2017
43	General Maintenance Rules	Amendment 15	20 July 2018
91	General Operating and Flight Rules	Amendment 31	10 May 2019
119	Air Operator - Certification	Amendment 16	10 March 2017
121	Air Operator – Large Aeroplanes	Amendment 31	10 May 2019
125	Air Operator – Medium Aeroplanes	Amendment 23	10 May 2019

Other rules or advisory circulars referred to during the assessment by Inspector

[] [] [] [] [] []