|  |  |
| --- | --- |
|   | Part 119/135 airline – compliance matrix (maintenance)Use this compliance matrix to make sure you comply with **maintenance** requirements under the [Civil Aviation Rules](https://www.aviation.govt.nz/rules/) for a Part 119 air operator certificate for an airline operation conducted with helicopters and small aeroplanes under Part 135. |

# You must email us this compliance matrix with your application for certificate issue or renewal

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| --- |
| Email us this completed compliance matrix (in editable format not PDF) with your application for certificate issue or renewal to certification@caa.govt.nz |

# About your compliance matrix and our assessment

|  |  |
| --- | --- |
| * You’re responsible for compliance with Civil Aviation Rules
* This compliance matrix has the **minimum** **maintenance requirements** for an air operation for helicopters and small aeroplanes
* You must also complete separate compliance matrices for operations and dangerous goods
* Click on the field areas and type (they expand as you type)
* To add rows to the tables in this form copy and paste the last row
 | CAA’s compliance matrices are a guide to minimum compliance requirementsThey speed up, and reduce the cost of, the certification assessment by making it easier for us to…* find the procedures and information in your exposition
* assess your application

It’s important to keep your compliance matrices up-to-date to assist ongoing compliance and support your certificate renewal applications |

# Your organisation’s details

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| Organisation’s name |       | CAA ID no.  |       | Date | Click or tap to enter a date. |

# Proposed exposition sections (if one document) or documents (if separate documents) submitted with this compliance matrix

| Section or document name | Abbreviation (abbrev.) | Version no. | Dated |
| --- | --- | --- | --- |
|       |       |       | Click or tap to enter a date. |
|       |       |       | Click or tap to enter a date. |
|       |       |       | Click or tap to enter a date. |
|       |       |       | Click or tap to enter a date. |

# Confirm your exposition meets document control requirements

| **Rule 119.81(a)(13) procedures to control, amend, and distribute your exposition** | **You complete**Section/document complies?Yes/No. If no, explain why | **CAA use only**Exposition sampled and any issues organisation must address(Inspector adds initials and date) |
| --- | --- | --- |
| List of effective pages (for document control and management) |       |       |
| Revision/amendment description table (to give context to the change) |       |       |
| Contents page |       |       |
| Header or footer on **every page** must have your organisation’s name, exposition or document name, effective revision no. and date, and page no. |       |       |

# Your exposition must address the rules below

The table below has a summary of the maintenance requirements rules that must be addressed under Part 119.81 airline air operator exposition.

|  |  |  |
| --- | --- | --- |
| **Rule 119.81 airline air operator exposition - maintenance requirements**  | **You complete**Section or document name abbrev. and reference or heading (If the rule is not met or doesn’t apply, explain why) | **CAA use only**Exposition sampled and any issues organisation must address(Inspector adds initials and date) |
| 119.81(a)(2) Maintenance control and scheduling senior person name and position title  |       |       |
| 119.81(a)(3) Maintenance control and scheduling senior person duties and responsibilities  |       |       |
| 119.81(a)(7) Principal place of operation and, if applicable, main operations and maintenance bases |       |       |
| 119.81(a)(10) Maintenance procedures, programme, and organisation that performs maintenance on your aircraft |       |       |
| 119.81(a)(12) Maintenance procedures for compliance with foreign State laws where your aircraft operate |       |       |

# Your exposition must address the rules below if they apply to your type of operation

If this compliance matrix is emailed to us with your application for certificate **renewal**, highlight or Track Change proposed amendments and any changes since the issue of your last certificate.

| **Rule**  | **You complete**Section or document name abbrev. and reference or heading (If the rule is not met or doesn’t apply, explain why) | **CAA use only**Exposition sampled and any issues organisation must address (Inspector adds initials and date) |
| --- | --- | --- |
| **Part 12 Accidents, incidents, and statistics**  |
| **Subpart B Notification, investigation, and reporting, of occurrences** |
| 12.51 & 12.53 Notification of accident  |
| The exposition must clearly outline the process on how you notify CAA of any accident involving an aircraft, if the pilot-in-command is fatally or seriously injured, or if the aircraft is missing.12.51 Notify as soon as practicable. 12.53 Submit the approved CAA form; or by a means acceptable to CAA within 10 days of the accident. |       |        |
| 12.55 & 12.57 Notification of incident  |
| The exposition must describe the process you will undertake to notify CAA of serious incident or an immediate hazard that would impact safety of an aircraft operation. 12.55 Notify as soon as practicable.12.57 Submit the approved CAA form; or by a means acceptable to CAA within 14 days of the incident. |       |       |
| 12.59 Investigation and reporting  |
| The exposition must have a clear description of your internal investigation process and submit a report to CAA within 90 days.  |       |       |
| **Subpart C Preservation of Aircraft, its Contents, and Records** |
| 12.101 Access to aircraft involved in an accident  |
| The exposition must have a clear process on how you ensure no person interfere with the scene of the aircraft involved in an accident. |       |       |
| 12.103 Preservation of records  |
| The exposition must include process on how you preserve all records for at least 14 days after the serious accident or incident.  |       |       |
| 12.105 Retention of defective products and components  |
| The exposition must have a description on your obligation to submit a defect incident report and the process on how to retain defective product or component for at least 14 days after submitting the report.  |       |       |

| **Rule** | **You complete**Section or document name abbrev. and reference or heading(If the rule is not met or doesn’t apply, explain why) | **CAA use only**Exposition sampled and any issues organisation must address(Inspector adds initials and date) |
| --- | --- | --- |
| **Part 43 General maintenance rules** |
| **Subpart B Maintenance** |
| 43.51 Persons to perform maintenance |
| 43.51(a)(1) to (6) |       |       |
| 43.51(b)(1) to (3) [Appendix A.1] |       |       |
| 43.51(c)(1) & (2) |       |       |
| 43.53 Performance of maintenance |
| 43.53(1) to (11) |       |       |
| 43.54 Maintenance required under Part 145 |
| 43.54(a) |       |       |
| 43.69 Maintenance records |       |       |
| **Subpart C Release to service** |
| 43.101 Persons to certify release-to-service |
| 43.101(a) |       |       |
| 43.101(c) |       |       |
| 43.103 Requirements for certifying release-to-service |       |       |
| 43.105 Certifying release-to-service after maintenance |       |       |
| 43.107 Inoperative equipment |       |       |
| 43.109 Defects |       |       |
| 43.113 Duplicate safety inspection of control system |       |       |
| 43.115 Engine performance checks |       |       |
| **Subpart D Review of airworthiness** |
| 43.151 Persons to perform review |       |       |
| 43.153 Review requirements |       |       |
| 43.155 Certifying review |       |       |
| **Subpart E Certifying conformity following major modification or major repair** |
| 43.201 Purpose |       |       |
| 43.203 Persons to certify conformity |       |       |
| 43.205 Certifying requirements |       |       |
| 43.207 Certification |       |       |
| **Part 91 General operating and flight rules** |
| **Subpart F Instrument and equipment requirements** |
| 91.517 IFR instruments and equipment |       |       |
| 91.537 Inoperative instruments and equipment |
| 91.537(a)(2)  |       |       |
| **Subpart G Operator Maintenance Requirements** |
| 91.602 Maintenance requirements before flight |
| 91.602(a)  |       |       |
| 91.603 General maintenance requirements  |
| 91.603(a) |       |       |
| 91.603(a)(1) |       |       |
| Aircraft software configuration management procedures [Refer to AC43-15 & AC91-18] |       |       |
| 91.603(a)(2) |       |       |
| 91.603(a)(3) |       |       |
| 91.603(a)(4) |       |       |
| 91.603(a)(5) |       |       |
| 91.603(a)(6) [Part 43 above] |       |       |
| 91.603(a)(7) |       |       |
| 91.603(a)(8) |       |       |
| 91.603(b) |       |       |
| 91.603(c)(1) & (2) |       |       |
| 91.603(d) |       |       |
| 91.603(e) |       |       |
| 91.603(f) |       |       |
| 91.605 Maintenance programmes and schedules |
| 91.605(a)(2) [Refer 119.63 below] |       |       |
| 91.605(b)(1) [Refer 119.63 below] |       |       |
| 91.605(c) |       |       |
| 91.605(e)(1) |       |       |
| 91.605(e)(2)(i) to (iii) |       |       |
| 91.605(e)(3) |       |       |
| 91.605(e)(4)(i) & (ii) |       |       |
| 91.605(e)(5)(i) & (ii) |       |       |
| 91.605(e)(6)(i) & (ii) |       |       |
| 91.605(e)(7) |       |       |
| 91.605(e)(8) |       |       |
| 91.605(e)(9)(i) & (ii) |       |       |
| 91.605(e)(10) |       |       |
| 91.605(f) |       |       |
| 91.605(g)(1) |       |       |
| 91.605(g)(2) |       |       |
| 91.605(g)(3) |       |       |
| 91.605(g)(4) |       |       |
| 91.607 Approval of maintenance programmes |
| 91.607(a) |       |       |
| 91.607(b)(1) |       |       |
| 91.607(b)(2) |       |       |
| 91.607(b)(3) |       |       |
| 91.607(b)(4) |       |       |
| 91.607(b)(5)(i) to (iii) |       |       |
| 91.607(b)(6) [91.611(a), 91.611(b)(1)-(2)] |       |       |
| 91.607(b)(7) |       |       |
| 91.607(b)(8) |       |       |
| 91.607(b)(9) |       |       |
| 91.607(b)(10) |       |       |
| 91.609 Changes to maintenance programmes and schedules |
| 91.609(a) |       |       |
| 91.613 Operational flight check |
| 91.613(a)(1) to (3) |       |       |
| 91.613(b)  |       |       |
| 91.615 Review of airworthiness |
| 91.615(b)(3) |       |       |
| 91.616 Maintenance logbooks |
| 91.616(1) |       |       |
| 91.616(2) |       |       |
| 91.617 Maintenance records |
| 91.617(a) |       |       |
| 91.617(b) |       |       |
| 91.617(c) |       |       |
| 91.619 Technical log |
| 91.619(a)(1) to (3) |       |       |
| 91.619(a)(4)(i) & (ii) |       |       |
| 91.619(a)(5) to (8) |       |       |
| 91.619(a)(9)(i) & (ii) |       |       |
| 91.619(a)(10) to (12) |       |       |
| 91.619(b) |       |       |
| 91.619(c)(1) to (4) |       |       |
| 91.621 Transfer of maintenance records |
| 91.621(1)-(2) |       |       |
| 91.623 Retention of records |
| 91.623(a) |       |       |
| 91.623(b) |       |       |
| 91.623(d) |       |       |
| **Part 119 Air operator – certification** |
| **Subpart B Airline air operator certification requirements** |
| 119.51 Personnel requirements |
| 119.51(a)(2)(ii)  |       |       |
| 119.51(b)(1)(iii)  |       |       |
| 119.51(b)(2)  |       |       |
| 119.51(d) |       |       |
| 119.61 Maintenance procedures [Exposition requirements 119.81(a)(10)(i)] |
| 119.61(a)(1) & (2) |       |       |
| 119.61(b)(1) |       |       |
| 119.61(b)(2) |       |       |
| 119.61(b)(3) |       |       |
| 119.63 Maintenance programme [Exposition requirements 119.81(a)(10)(ii)] |
| 119.63(b)(1) |       |       |
| 119.63(b)(2)(i) & (ii)  |       |       |
| 119.63(b)(3) |       |       |
| 119.63(b)(4) |       |       |
| 119.63(b)(5) |       |       |
| 119.63(b)(6) |       |       |
| 119.63(b)(7) |       |       |
| 119.63(b)(8) |       |       |
| 119.63(b)(9) |       |       |
| 119.63(b)(10) [Refer to 91.623 above] |       |       |
| 119.65 Documentation [as applicable to the maintenance requirements] |
| 119.65(c)(1) |       |       |
| 119.65(c)(2) |       |       |
| 119.65(c)(3) |       |       |
| 119.65(c)(4) |       |       |
| 119.65(c)(5) |       |       |
| **Subpart C General aviation air operator certification requirements** |
| 119.101 Personnel requirements |
| 119.101(a)(1) to (3) |       |       |
| 119.101(b)(1)(iii) |       |       |
| 119.101(b)(2) |       |       |
| 119.109 Maintenance procedures |
| 119.109(a)(1) & (2) |       |       |
| 119.109(b)(1) to (3) |       |       |
| 119.111 Maintenance programme |
| 119.111(a) |       |       |
| 119.111(b)(1) to (10) |       |       |
| **Part 135 Air operations – helicopters and small aeroplanes** |
| 135.402 Option for maintenance |
| 135.402(a)(1) & (2) |       |       |
| 135.402(c)(1) & (2) |       |       |
| 135.402(d)(1) & (2) |       |       |
| 135.403 Responsibility for airworthiness |
| 135.403(a)(1) & (2) |       |       |
| 135.403(b) |       |       |
| 135.405 Condition monitored maintenance programmes |
| 135.405(1) to (9) |       |       |
| 135.415 Maintenance review |
| 135.415(a)(1) |       |       |
| 135.415(d)(1) |       |       |
| 135.415(d)(2) |       |       |
| 135.415(d)(3) |       |       |
| 135.415(b)(1) |       |       |
| 135.415(b)(2)(i) to (iii) |       |       |
| 135.415(b)(3)(i) to (v) |       |       |
| 135.415(c)(1) |       |       |
| 135.415(c)(2) |       |       |
| List any other rules complied with: |
|       |       |       |
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**CAA use only**

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| Case number |       | Date assessment completed | Click or tap to enter a date. | Inspector’s initials |       |
| Inspector(s) who assessed compliance matrix |
| Name | Initials | Name | Initials  |
|       |       |       |       |
|       |       |       |       |

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| Other rules or advisory circulars referred to during the assessment by CAA inspector: |
| Reference  | Reference | Reference | Reference | Reference |
|       |       |       |       |       |

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| This compliance matrix was established using the following Parts: |
| Part  | Name | Amendment no. | Effective date | Part  | Name | Amendment no. | Effective date |
| 12 | Accidents, Incidents, and Statistics | Initial issue | 5 April 2025 | 119 | Air Operator – Certification | Amendment 1 | 5 April 2025 |
| 43 | General Maintenance Rules | Initial issue | 5 April 2025 | 135 | Air Operations – Helicopters and Small Aeroplanes | Initial issue | 5 April 2025 |
| 91 | General Operating and Flight Rules | Initial issue | 5 April 2025 |

Development status control

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| Revision | 7 | Date | 06/10/2022 | Status | Final |  |
| Form name changed from ‘compliance matrix’ to ‘rule checklist’; instructions redrafted; table headings changed; hyperlink to rules added; 119.81 moved to front; 91.517 added; amendment numbers and dates updated |

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| Revision | 8 | Date | 24/01/2024 | Status | Final |  |
| Updated Part 12 Accidents, Incidents, and Statistics Subpart B and Subpart C; updated amendment history |

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| Revision | 9 | Date | 05/04/2025 | Status | Final |  |
| Updated to reflect the new rules issued under the Civil Aviation Act 2023 (note: **no rule changes** were made. The rules referenced in revision 9 are the same as those in revision 8).Other amendments: document name changed to align with CAA's operational policy naming conventions; minor formatting changes; corrections to CAA business terminology eg, a work request is now a case number |