

Part 119 / 135 Compliance Matrix – Aeroplanes IFR – General Aviation Air Operator Certificate

The Rule references in this compliance matrix have been extracted from the Civil Aviation Rules system as the minimum compliance requirements for an applicant for the issue or renewal of a Part 135 General Aviation Air Operator Certificate.

This matrix is part of a series of matrices applicable to Part 135 General Aviation Air Operator requirements. It contains operating and certification rule parts for aeroplane IFR operations only. VFR operations, maintenance requirements, dangerous goods and training are to be found in the other matrices and will need to be selected to suit the particular type of operation (**Form 24135/01 GA VFR must also be completed**).

A completed set of compliance matrices must be submitted by the applicant both for initial certification and for renewal. Additionally, the certificate holder should maintain an up-to-date compliance matrix to assist with on-going compliance and to support certificate amendment requests.

The purpose of the matrix is to speed up the certification process, ensure every applicable rule requirement has been addressed in the exposition and reduce the cost of certification by the quick location of required policies or procedures in the applicant's exposition manual suite.

All rules have to be complied with, but not every rule has to be addressed in the exposition. At least the following Rules must be included unless they are not applicable to the operation, in which case they should be annotated N/A. The intention of this matrix is to assist rather than instruct the applicant in an initial application or request for renewal. If, for your operation, compliance is required with a rule not listed in the matrix, please add it to the list and identify the exposition reference.

This matrix needs to be completed by every applicant for a Part 135 General Aviation Air Operator Certificate and show the exposition pages and paragraph numbers that satisfy the rules in the *Manual References / Applicant's Comments* column. Where the applicant does not meet the rule requirement or deems it not applicable, an explanation should be given in this column. **Please note ticks (ü) are not acceptable.**

The completed matrix should accompany the exposition documents and preferably be included as a component of the exposition. The applicant may submit a completed matrix in a different format as long as it includes all the rule references identified below; however, there may be additional processing time required by the CAA in cross-referencing requirements.

General Manual Layout and Distribution

Manual binders: Can the manual be amended easily? (Three- or four-ring binders are preferred: two-ring binders are not recommended as the pages are too easily torn). If permanently bound, do you intend to re-issue at every amendment? This may inhibit frequency of needed amendments.

Electronic exposition: Is the matrix included as part of the file(s)/disc? If so, is it up to date? Have you considered the methods for distributing to the CAA and how you will manage amendments?

Applicant: []
Manuals Submitted: []

Participant ID: []
Rev.: []

Dated: []

	Applicant's Comments	CAA Comments (for CAA use only)
Rule Compliance Matrix	[]	[]
Company Statement page, signed by the Chief Executive	[]	[]
List of Effective Pages	[]	[]
Record of Amendments	[]	[]
Distribution List & copies to be numbered	[]	[]
Contents Page	[]	[]
Definitions & Abbreviations (not mandatory)	[]	[]
On every page, headers and/or footers to include: (a) Company name (b) Name of the manual (c) Effective revision and date of the page (d) Page number	[]	[]
Index (not mandatory but desirable)	[]	[]

Rule Reference	Manual References / Applicant's Comments	CAA Review & Comments (for CAA use only)
Part 12 Occurrence Reporting		
12.51(a)	[]	[]
12.51(b)(1)-(14)	[]	[]
12.53(a)(1)-(2)	[]	[]
12.53(b)	[]	[]
12.53(c)	[]	[]
12.55(a)	[]	[]
12.55(b)(1)	[]	[]
12.55(c)	[]	[]
12.55(d)(1)-(9)	[]	[]
12.55(e)(1)(i)-(v)	[]	[]
12.57(a)(1)-(3)	[]	[]
12.57(b)(1)-(3)	[]	[]
12.59(1)	[]	[]
12.59(2)(i)-(iii)	[]	[]
12.59(3)	[]	[]
12.101(a)	[]	[]
12.101(b)	[]	[]
12.101(c)(1)-(4)	[]	[]
12.101(d)(1)-(3)	[]	[]
12.103(a)	[]	[]
12.105	[]	[]
12.151(a)	[]	[]
12.151(b)(1)or(2)	[]	[]

Part 19 Transition Rules		
IFR Operations: GNSS		
19.205	[]	[]
19.207	[]	[]
19.209	[]	[]
19.211 (a)	[]	[]
19.211 (b)	[]	[]
19.213	[]	[]
19.215	[]	[]
19.217	[]	[]
19.219	[]	[]
Part 91 General Operating and Flight Rules		
General Flight Rules		
91.246	[]	[]
91.247	[]	[]
91.255(a)	[]	[]
91.257	[]	[]
Instrument Flight Rules		
91.401	[]	[]
91.403	[]	[]
91.405	[]	[]
91.407	[]	[]
91.409	[]	[]
91.411	[]	[]
91.413	[]	[]
91.415	[]	[]

91.417	[]	[]
91.419	[]	[]
91.421	[]	[]
91.423	[]	[]
91.425	[]	[]
91.427	[]	[]
91.429	[]	[]
91.431	[]	[]
Instrument and Equipment Requirements		
91.521	[]	[]
Part 135 Air Operations – Helicopters and Small Aeroplanes		
Flight Operations		
135.87(d)	[]	[]
Operating Limitations and Weather Requirements		
135.153(b)-(c)	[]	[]
135.157	[]	[]
135.159 (a)	[]	[]
135.159A (a)-(c)	[]	[]
135.161	[]	[]
135.163	[]	[]
135.165	[]	[]
Performance		
135.211	[]	[]
135.213	[]	[]
135.215	[]	[]
135.217	[]	[]
135.219	[]	[]

135.221	[]	[]
135.223	[]	[]
135.225	[]	[]
135.227	[]	[]
135.228	[]	[]
135.229	[]	[]
135.231	[]	[]
135.233 (a)-(b)	[]	[]
Crew Member Requirements		
135.511	[]	[]
135.563	[]	[]
Crew Member Competency Requirements		
135.607(3)	[]	[]
Fatigue of Flight Crew		
135.803	[]	[]
135.805	[]	[]
List any other rules complied with:		
[]	[]	[]

CAA Use

Assessed By: [] [] []

Work Request: []

Date received: [DD] / [MM] / [YYYY] Date accepted: [DD] / [MM] / [YYYY]

This matrix was established using the following Rule Part amendment statuses

12	Accidents, Incidents and Statistics	Amendment 10	30 Oct 2017
19	Transition Rules	Amendment 19	10 March 2017
91	General Operating and Flight Rules	Amendment 31	10 May 2019
135	Air Operations – Helicopters and Small Aeroplanes	Amendment 24	10 May 2019

Other rules or advisory circulars referred to during the assessment by Inspector
[] []