

# Part 140 aviation security service – compliance matrix

The Civil Aviation Rule references in this compliance matrix have been identified as the minimum compliance requirements for an application for the initial issue or renewal of a Part 140 aviation security service certificate. All applicable rules must be complied with, but not every rule has to be addressed in the exposition. The intention of this compliance matrix is to assist your initial application or request for renewal. If, for your operation, compliance is required with a rule not listed in the matrix, please add it to the list and identify the exposition reference.

The purpose of the compliance matrix is to support an effective and efficient certification process and ensure that the applicable rule requirements have been addressed in the exposition. A completed compliance matrix must be submitted for both initial certification and renewal. Additionally, the certificate holder should maintain an up-to-date compliance matrix to assist with on-going compliance and to support future certificate amendment requests.

Your exposition must be, and continue to be, a current description of your organisation.

Aviation security activities with no corresponding operational standards must nevertheless demonstrate through the exposition and procedures how they support the delivery of aviation security services in accordance with the functions, duties, and powers provided under the Civil Aviation Act 2023 and (when considered necessary by the Minister or Director) New Zealand’s compliance with its international obligations.

This compliance matrix should be completed by every applicant for a Part 140 aviation security service organisation certificate and show the exposition pages and paragraph numbers that satisfy the rules in the ‘Manual references / applicant’s comments’column. Where you do not meet the rule requirement or it is deemed not applicable, an explanation should be given.

 Note ticks (✓) are **not** acceptable.

The completed matrix should accompany the exposition documents and preferably be included as a component of the exposition.

# General manual layout and distribution: electronic exposition is the preferred option.

# Applicant:       CAA participant number:

**Manuals submitted:** **Revision:** **Dated:**

|  |  |  |
| --- | --- | --- |
|  | **Applicant’s comments** | **CAA comments (for CAA use only)** |
| Rule compliance matrix |       |       |
| Company statement page, signed by the Chief Executive |       |       |
| List of effective pages |       |       |
| Record of amendments |       |       |
| Distribution list & copies to be numbered |       |       |
| Contents page |       |       |
| Definitions & abbreviations (not mandatory) |       |       |
| On every page, headers and/or footers to include:1. Company name
2. Name of the manual
3. Effective revision and date of the page
4. Page number
 |       |       |
| Index (not mandatory but desirable) |       |       |

| **Rule reference** | **Manual references / applicant’s comments** | **CAA comments (for CAA use only)** |
| --- | --- | --- |
| **Subpart A - General** |
| **140.11 Functions and duties of certificate holder** |
| 140.11 (a)(1)(i) *Screening services required by the Minister or the Director* |       |       |
| 140.11 (a)(1)(ii)*Searches of aircraft* |       |       |
| 140.11 (a)(1)(iii)*Aerodrome security patrols*  |       |       |
| 140.11 (a)(1)(iv)*Approved screening and searching of SEA’s*  |       |       |
| 140.11(a)(2)*Patrols of security designated navigation installations* |       |       |
| 140.11(a)(3)*Inquiring, keeping informed, reviewing systems and techniques etc.* |       |       |
| 140.11(a)(4)*Cooperation with government and operators, appropriate international organisations etc.* |       |       |
| 140.11(b)*Functions and duties prescribed in the Act* |        |       |
| 140.13(c) and (d)*Expired, suspended, or revoked certificate* |       |       |
| 140.15*Renewal of certificate* |       |       |
| **Subpart B – Certification requirements** |
| **140.51 Personnel requirements** |
| 140.51(a)(1)*Senior person as Chief Executive and authority to carry out requirements of CAR 140* |       |       |
| 140.51(a)(2)*Senior Person(s) responsibility and accountability* |       |       |
| 140.51(a)(3)[ref 140.61(a)(7)]*Sufficient personnel to plan, inspect, supervise and carry-out* |       |       |
| 140.51(b)(1)(i)-(v)*Procedures to assess Aviation Security Officers (ASO) applicant’s abilities* |       |       |
| 140.51(b)(2)*Designate ASO’s* |       |       |
| 140.51(b)(3)*Procedures to train and maintain competence of ASO’s* |       |       |
| 140.51(b)(4)[ref 140.61(a)(7)]*Written evidence of scope of authorization for staff who plan, inspect, supervise and carry out aviation security services* |       |       |
| **140.55 Documentation**  |
| *140.55(a) and (b)**Procedures to ensure necessary documentation available*  |       |       |
| 140.55(c)(1)*Procedure for reviewing and approving necessary documents* |       |       |
| 140.55(c)(2)*Procedure to ensure current issues of relevant documents available to personnel at all locations*  |       |       |
| 140.55(c)(3)*Procedure to ensure outdated documents are removed from all points of issue* |       |       |
| 140.55(c)(4)*Procedure to ensure that changes to documents are reviewed and approved by appropriate personnel*  |       |       |
| 140.55(c)(5)*Procedure to ensure the current issue of each document can be identified* |       |       |
| 140.55(c)(6)*Procedure to ensure the exposition remains a current description of the organization, its services, procedures and facilities* |       |       |
| 140.55(d)*Procedure for provision and maintenance of exposition* |       |       |
| **140.57 Records** |
| 140.57(a)*Procedures regarding records necessary for compliance* |       |       |
| 140.57(b)(1)*Procedure to maintain ASO register* |       |       |
| 140.57(b)(2)*Procedure to ensure legibility, permanency, and retention* |       |       |
| **140.59 Internal quality assurance** |
| 140.59(a)*Establish internal quality assurance system* |       |       |
| **Internal quality assurance system** |
| 140.59(b)(1)*Security policy and procedures*  |       |       |
| 140.59(b)(2)*Procedure for monitoring quality indicators* |       |       |
| 140.59(b)(3)*Procedure for corrective action* |       |       |
| 140.59(b)(4)*Procedure for preventive action* |       |       |
| 140.59(b)(5)*Internal audit programme* |       |       |
| 140.59(6)*Procedures for management review*  |       |       |
| 140.59(7)*Procedure for senior person access to Chief Executive* |       |       |
| **Implementation of the security policy** |
| 140.59(c)*Procedures regarding the security policy*  |       |       |
| **Corrective action requirements** |
| 140.59(d)(1)*Procedure for correcting an existing problem* |       |       |
| 140.59(d)(2)*Procedure for ensuring corrective action is effective* |       |       |
| 140.59(d)(3)*Procedure for management review of corrective action effectiveness* |       |       |
| **Preventive action requirements** |
| 140.59(e)(1)*Procedure for correcting potential problems*  |       |       |
| 140.59(e)(2)*Procedure for ensuring preventive action is effective* |       |       |
| 140.59(e)(3)*Amending procedures*  |       |       |
| 140.59(e)(4)*Procedure for management review of preventive action effectiveness* |       |       |
| **Audit programme** |
| 140.59(f)(1)*Activity, frequency, and location of audits specified* |       |       |
| 140.59(f)(2)*Independent and trained auditors* |       |       |
| 140.59(f)(3)*Audits are reported* |       |       |
| 140.59(f)(4)*Preventive and corrective action is taken* |       |       |
| 140.59(f)(5)*Follow up audits review actions taken* |       |       |
| **Management review procedure** |
| 140.59(g)(1)*Specifies frequency of reviews of IQA system* |       |       |
| 140.59(g)(2)*Responsible manager identified* |       |       |
| 140.59(g)(3)*Evaluation and recording of review results* |       |       |
| **140.61 Organisation exposition** |
| 140.61(a)(1)(i) and (ii)*CE signed statement and continued compliance* |       |       |
| 140.61(a)(2) [ref 140.51(a)(1) and (2)]*List of senior persons*  |       |       |
| 140.61(a)(3) [ref 140.51(a)(1) and (2)]*Duties, responsibilities, and engagement matters* |       |       |
| 140.61(a)(4)*Organisation chart* |       |       |
| 140.61(a)(5)*Locations and facilities* |       |       |
| 140.61(a)(6)*Staffing structure at each location* |       |       |
| 140.61(a)(7)*Details of aviation security services at each location* |       |       |
| 140.61(a)(8) [ref App. A22]*Scope of medical examination report and method of assessment of fitness* |       |       |
| 140.61(a)(9)(i)-(iv)[ref 140.53, 140.55, 140.57 and 140.59]*Details of the procedures that are required*  |       |       |
| 140.61(a)(10)*Procedures for controlling, amending, and distributing exposition* |       |       |
| 140.61(a)(11)*Procedures for notifying, investigating, and reporting any security incident.* *Refer also to Part 12 reporting* [section](#Text10) *below.* |       |       |
| 140.61(a)(12)*Procedures for reporting the detection of DG’s* |       |       |
| 140.61(b)*Exposition acceptable to the Director* |       |       |
| **Subpart C – Operating Requirements** |
| **140.101 Continued compliance** |
| 140.101(1)*Availability of exposition at each location* |       |       |
| 140.101(2)*Continued compliance with procedures and systems* |       |       |
| 140.101 (3)*Exposition is available to personnel required to comply*  |       |       |
| 140.101(4)(i) and (ii)*Continued compliance with Subpart B and Appendix A* |       |       |
| **140.103 Changes to exposition** |
| 140.103(a)(1)*Ensure the exposition is amended to remain a current description of organisation* |       |       |
| 140.103(a)(2)*Ensure amendments meet requirements* |       |       |
| 140.103(a)(3)*Procedure for amendment to be complied with*  |       |       |
| 140.103(a)(4)*Provision to the Director*  |       |       |
| 140.103(a)(5)*Make amendments the Director considers necessary* |       |       |
| 140.103(b)(1)*Changes to CE require application and approval* |       |       |
| 140.103(b)(2)*Changes to Senior Persons require application and approval* |       |       |
| 140.103(b)(3)*Changes to location(s) require application and approval* |       |       |
| 140.103(b)(4)*Changes to scope require prior application and approval* |       |       |
| 140.103(b)(5)*Changes to IQA system require prior application and approval* |       |       |
| **Appendix A – Security Operational Standards** |
| **A.1 Sterile area search** |
| A.1(1)(i)*ASO’s to carry out search and confirm the integrity of sterile area prior to passenger screening* |       |       |
| A.1(1)(ii)*ASO’s to carry out search and confirm the integrity of sterile area when sterile nature of area may have been compromised* |       |       |
| A.1(2)(i)*Ensuring no unauthorised article left behind or hidden*  |       |       |
| A.1(2)(ii)*Ensuring segregation of screened and unscreened persons* |       |       |
| A.1(3)*Tests and checks* |       |       |
| **A.2 Security control of sterile areas** |
| A.2(1)*Screening and security control of goods and supplies*  |       |       |
| A.2(2)*Monitoring of movements* |       |       |
| **A.3 Aircraft search** |
| A.3(a)(1) and (2)*Requirements for search* |       |       |
| A.3(b)(1) to (3)*Search of high-risk flights* |       |       |
| A.3(c)(1)*Records relating to aircraft searches* |       |       |
| A.3(c)(2)*Testing and proficiency* |       |       |
| **A.4 Screening point security and equipment** |
| A.4(1)*Location of screening points* |       |       |
| A.4(2)*Sufficient personnel and equipment* |       |       |
| A.4(3)*Items for carriage are passed to the air operator* |       |       |
| A.4(4)*ASO’s instructed in use of standard test piece* |       |       |
| A.4(5)(i) and (ii)*Testing of metal detectors acceptable to the Director prior to screening* |       |       |
| A.4(6)*Testing of x-ray acceptable to the Director* |       |       |
| **A.5 Screening of the person** |
| A.5(a)(1)-(3)*Posting of notices at screening points, consent, denial of entry and refusal of carriage* |       |       |
| A.5(b)(1)*Ensuring ASO’s deny entry to sterile area through screening point of unscreened persons* |       |       |
| A.5(b)(2)*Ensuring departing passengers and crew not to enter sterile area unless screened by ASO (unless exempted by Cabinet)* |       |       |
| A.5(b)(3)*Ensuring ASO’s ensure no unauthorised article* |       |       |
| A.5(b)(4)(i) and (ii)*Tests and checks to ensure thoroughness and proficiency* |       |       |
| **A.6 Screening by x-ray** |
| A.6(a)(1)*Ensuring that ASO’s when using x-ray are satisfied no unauthorised article* |       |       |
| A.6(a)(2)*Ensuring that ASO’s do not continuously view presented image* |       |       |
| A.6(b)*Ensuring ASO’s have positive identification that an article is not unauthorised* |       |       |
| A.6(c)(i) and (ii)*Tests and checks to ensure thoroughness and proficiency* |       |       |
| **A.7 Hand search of carry-on baggage** |
| A.7(a)(1)*Ensuring ASO’s satisfy themselves no unauthorised articles when checking by hand*  |       |       |
| A.7(a)(2)*Ensuring that if ASO is not satisfied no unauthorised article, carriage of baggage in aircraft is prohibited and operator notified*  |       |       |
| A.7(a)(3)*Ensuring ASO’s comply with A.11(b)(5) where explosive device suspected* |       |       |
| A.7(b)(1) and (2)*Computer, video, camera etc. not to enter sterile area where consent to examine declined* |       |       |
| A.7(c)*Tests and checks to ensure thoroughness and proficiency* |       |       |
| **A.8 Revoked** |
| **A.9 Surveillance of persons being screened** |
| A.9(1)(i) and (ii)*ASO’s on x-ray load duties to be alert and ensure correct positioning of items* |       |       |
| A.9(2)*ASO’s on metal detector duty to screen when concerned, regardless of alarm* |       |       |
| **A.10 Breaches of security screening** |
| A.10(a)(1)*Removal of all persons from sterile area where screened passengers have contact with unscreened persons (‘mixing’)* |       |       |
| A.10(a)(2)*Re-searching sterile area following mixing* |       |       |
| A.10(a)(3)*Re-screening into sterile area* |       |       |
| A.10(b)*Search of areas at risk where unscreened person found but mixing has not occurred* |       |       |
| **A.11 Screening point emergencies – action plans and alarms** |
| A.11(a)*Procedure for dealing with situations at screening points affecting safety of person(s)* |       |       |
| A.11(b)(1)*Procedure to include building evacuation* |       |       |
| A.11(b)(2)*System to warn ASO’s of emergency* |       |       |
| A.11(b)(3)(i) and (ii)[ref CAR 139.57]*ASO’s to operate covert alarms to alert Police and relevant parties in accordance with Aerodrome Emergency Plan* |       |       |
| A.11(b)(4)(i)*Actions to be taken when unauthorised article presented indicating criminal intent* |       |       |
| A.11(b)(4)(ii)*Actions to be taken when unauthorised article detected on x-ray*  |       |       |
| A.11(b)(5)(i) – (iv)*Procedure to notify and work with Police and other parties where explosive device discovered* |       |       |
| A.11(c)*Procedures relating to security enhanced area screening points* |       |       |
| **A.12 Mobile patrol**  |
| A.12(a)(1) and (2)*24 hour mobile patrols to detect security threats and provide for prompt response to security related emergencies* |       |       |
| A.12(b)(1) – (2)*ASO’s to have knowledge of facilities, areas of operation and ensure thorough coverage*  |       |       |
| A.12(b)(3)(i) – (iii)*Principle of irregularity, checking vehicles and persons, deterrence* |       |       |
| A.12(b)(4)*Response time to security related emergencies* |       |       |
| A.12(b)(5) and (6)*Patrolling cargo, freight, navigation facilities within security areas and security enhanced areas* |       |       |
| A.12(b)(7)*Inspect fencing/barriers and detect breaches* |       |       |
| A.12(b)(8)(i) to (iv)*Inspection requirements including variance of timing and maintenance of records* |       |       |
| A.12(c)(1) and (2)*AvSec maintenance of mobile patrols at airports other than Auckland, Wellington, Christchurch* |       |       |
| A.12(d)(1) and (2)Operator of security designated navigation installation that holds a 140 cert. may maintain mobile patrols |       |       |
| **A.13 Check point security**  |
| A.13(1)(i)*ASO’s only grant access to persons with valid ID or documents at any security check point* |       |       |
| A.13(1)(ii)*ASO’s to secure checkpoint when leaving unattended* |       |       |
| A.13(2)*ASO’s to ensure only authorised persons and vehicles granted entry at vehicle security check points* |       |       |
| **A.14 Random security spot checks**  |
| A.14(1) and (2)*ASO’s to conduct random spot checks of persons and vehicles entering or within security areas and security enhanced areas to ensure entitlement and authorisation* |       |       |
| **A.15 Verification – ID cards and licences**  |
| A.15(1)*ASO’s to monitor identification cards and pilot licences used for access* |       |       |
| A.15(2)*ASO’s to establish authenticity of documents where doubt exists* |  |  |
| **A.16 Security escorts** |
| A.16(a)*Procedures to determine where persons or vehicles require escort by ASO* |       |       |
| A.16(b)*ASO’s to brief escorted person(s)* |       |       |
| A.16(c)*ASO to brief escorted person when left unattended in security area or security enhanced area* |       |       |
| **A.17 Foot patrols**  |
| A.17(1)*Foot patrols to detect persons who may pose a threat to security of civil aviation* |       |       |
| A.17(2)(i) – (iii)*Foot patrol requirements including knowledge, coverage and response to security related incidents* |       |       |
|  **A.18 Aircraft security** |
| A.18(a)(1)(i) – (iii)*ASO’s to keep aircraft under surveillance, prevent unauthorised access, conduct walk-around visual inspections of international aircraft* |       |       |
| A.18(a)(2)(i) – (iii)*Patrolling of operational international aircraft, principle of irregularity, frequency requirements* |       |       |
|  A.18(a)(3)*Requesting attendance of aircraft operator* |       |       |
| A.18(b)(1) and (2)*Aircraft security requirements at international airports other than Auckland, Wellington or Christchurch* |       |       |
| **A.19 Patrol vehicles**  |
| A.19(1)*Patrol vehicles to be identifiable* |       |       |
| A.19(2)*Patrol vehicles to be equipped to a standard acceptable the Director* |       |       |
| **A.20 Intelligence and information** |
| A.20(1)*Security of classified documents* |       |       |
| A.20(2)*Promulgation of classified documents, including to those with a need-to-know* |       |       |
| **A.21 Liaison with other organisation** |
| A.21(a)[ref 140.61(a)(5)]*Consultation with all other agencies involved in contingency planning* |       |       |
| A.21(a)(2)[ref 140.61(a)(5)]*Security committees to be established to share information and motivate security awareness* |       |       |
| A.21(b)*Convene, chair and minute security committee meetings at regular intervals* |       |       |
| **A.22 Aviation security officer – medical requirements**  |
| [Ref 140.61(a)(8)]*Appointment of ASO’s dependant on medical examination report 6.72 cm* |       |       |
| **A.23 Training**  |
| A.23(a)(1) – (3)[ref A.23(c)(1) – (13)]*Organisations personnel not to perform duties of ASO unless trained, assessed and competent to required level* |       |       |
| A.23(b)(1) – (5)*Defines the grading system to denote level of competence required to be met* |       |       |
| A.23(c)(1)(i) – (vii)*Regarding relevant legislation* |       |       |
| A.23(c)(2)(i) – (iii)*Regarding screening and searching of passengers and baggage* |       |       |
| A.23(c)(3)*Regarding aircraft anti-sabotage checks*  |       |       |
| A.23(c)(4)*Regarding improvised explosive devices*  |       |       |
| A.23(c)(5)*Regarding dealing with armed offenders* |       |       |
| A.23(c)(6)*Regarding hijack response procedures* |       |       |
| A.23(c)(7)*Regarding foot and mobile security patrols* |       |       |
| A.23(c)(8) *Regarding aerodrome surface movements* |       |       |
| A.23(c)(9)*Regarding aeronautical radio-telephone operations and procedures* |       |       |
| A.23(c)(10)*Regarding threat factors, including terrorist and criminal trends* |       |       |
| A.23(c)(11)*Regarding weapons* |       |       |
| A.23(c)(12)*Regarding historical acts of unlawful interference* |       |       |
| A.23(c)(13)*Regarding international obligations* |       |       |
| **A.24 Recurrent testing** |
| A.24(a)*All ASO’s to be tested without notice, standard test piece* |       |       |
| A.24(b)*Assess the integrity of screening procedures, reasonable opportunity for detection* |       |       |
| A.24(c)*Test pieces must not be used unless acceptable to the Director* |       |       |
| A.24(d)(1) and (2)*Test pieces to represent an unauthorised article, realistic operational conditions* |       |       |
| A.24(e)(1) – (2)*Proficiency, re-testing, removal from duty* |       |       |
| A.24(e)(3)(i) – (iii)*Advising management, record keeping, corrective training and return to duty* |       |       |
| A.24(f)(1)*Testing of metal detector concealment on courier must be acceptable to the Director* |       |       |
| A.24(f)(2)(i) and (ii)*Testing requirements for courier through metal detector* |       |       |
| A.24(f)(3) and (4)*Examiner to confirm that ASO locates test piece when metal detector alarms* |       |       |
| A.24(f)(5)*Procedures when metal detector does not activate with test piece* |       |       |
| A.24(g)(1)*Procedures when x-ray testing is being conducted* |       |       |
| A.24(g)(2) and (3)*Regarding x-ray testing, ASO to identify and locate test piece, hand search where contents not clear* |       |       |
| A.24(h)*Regarding hand testing, test piece to be concealed and determine if located* |       |       |
| A.24(i)*Regarding sterile area search testing, test piece to be concealed and determine if located* |       |       |
| A.24(j)*Regarding aircraft search testing, test piece to be concealed and determine if located* |       |       |
| A.24(k)*Regarding vehicle search testing, test piece to be concealed and determine if located* |       |       |
| **A.25 Security enhanced areas** |
| **Security enhanced area screening point and equipment** |
| A.25(a)(1)*Ensure that screening and search manner and methodology is approved by Director* |       |       |
| A.25(a)(2)*Ensure that persons, items, substances, vehicles screened and searched as required by Minister or Director* |       |       |
| A.25(a)(3)*Ensure that sufficient personnel and equipment are available to carry out screening in accordance with manner and methodology approved by the Director* |       |       |
| A.25(a)(4)*Ensure ASO’s instructed in use of test pieces and competently checking screening equipment* |       |       |
| A.25(a)(5)*Ensure that testing of any screening apparatus and equipment is in a manner acceptable to the Director* |       |       |
| **Screening of persons, items, substances, and vehicles** |
| A.25(b)(1)*Posting of notices at screening points, consent, denial of entry*  |       |       |
| A.25(b)(2)*Inform persons within SEA screening subject consent, requirement to leave* |       |       |
| A.25(b)(3)(i) – (iii)*Ensure that record keeping requirements regarding screening are met in manner or methodology approved by the Director*  |       |       |
| A.25(b)(4)*Ensure that record keeping requirements regarding unauthorised articles located are met in manner or methodology approved by the Director* |       |       |
| A.25(c)(1)*Ensuring ASO’s ensure no unauthorised article* |       |       |
| A.25(c)(2)*Ensuring refusal of entry into security enhanced area* |       |       |
| A.25(c)(3)*Ensuring requirement to leave security enhanced area* |       |       |
| A.25(c)(4)(1) and (2)[ref A.24]*Testing and checking requirements* |       |       |
| **Hand search of items or substances** |
| A.25(d)[ref A.11(b)(5)]*Ensuring ASO’s comply with procedures where suspicion of explosive device* |       |       |
| A.25(e)[ref A.24]*Testing and checking requirements* |       |       |

|  |
| --- |
| **Part 12 Accidents, Incidents, and Statistics** |
| **Subpart B - Notification, investigation, and reporting of occurrences** |
| **Rule reference**  | **Applicant’s comments***Include statement on compliance and exposition reference*  | **CAA comments (for CAA use only)** |
| 12.55 & 12.57*The exposition must describe the process you will undertake to notify CAA of serious incident or an immediate hazard that would impact safety of an aircraft operation.* 1. *Notify as soon as practicable (12.55).*
2. *Submitting the approved CAA form; or by a means acceptable to CAA within 14 days of the incident (12.57).*
 |       |       |
| 12.59 *The exposition must have a clear description of your internal investigation process and submit a report to CAA within 90 days.*  |       |        |
| **List any other rules complied with:** |
| **Rule reference** | **Manual reference / applicant’s comments** | **CAA comments (for CAA use only)** |
|       |       |       |
|       |       |       |
|       |       |       |
|       |       |       |

**CAA use only**

|  |  |  |  |
| --- | --- | --- | --- |
| Case number  |       | Assessed by |       |
| Date received | Click or tap to enter a date. | Date accepted | Click or tap to enter a date. |
| Other rules or advisory circulars referred to during the assessment by Inspector |
|       |

**Development status control**

|  |
| --- |
| This matrix was established using the following Parts: |
| **Part** | **Name** | **Amendment no.** | **Effective date** |
| 12 | Accidents, Incidents, and Statistics | Initial issue | 5 April 2025 |
| 140 | Provides of Aviation Security Services | Amendment 1 | 5 April 2025 |

|  |  |  |  |
| --- | --- | --- | --- |
| Revision | Effective date | Status | Amendments  |
| 3 | 04/09/2023 | Final | Updated: cover page; amendment history; rule 140.51 Personnel requirementsInserted: rules 140.7, 140.11, 140.15, 140.101, 140.103; Appendix A – Security Operational Standards; Part 12 Subpart B – Notification, investigation, and reporting of occurrences General formatting updates: including addition of fillable fields |
| 4 | 08/04/2025 | Final | Rule references changed to align with the new rules re-issued under the Civil Aviation Act 2023Other updated and corrections: title; footer; terminology eg, a work request is now a case number  |